

ANAHEIM UNION HIGH SCHOOL DISTRICT 501 N. Crescent Way Anaheim, CA 92801

То:	Prospective Bidders
Projects:	Bid 2025-02 Brookhurst JHS Fire Alarm Project
Prequals Due:	August 16, 2024 @ 2:00 pm for General Contractors & MEP Subcontractors (contractors that hold a C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43 or C-46 license)
Bids Due:	August 29, 2024 @ 2:00 pm

Subject: Prequalification

As a condition of bidding on the above-referenced Projects and in accordance with the provisions of California Public Contract Code, Section 20111.6 and the District Board of Trustees policy, prospective bidders are required to submit to the Anaheim Union High School District ("District"), no later than **August 16, 2024 @ 2:00 pm for General Contractors and MEP Subcontractors**, a completed prequalification questionnaire and financial statement on forms supplied by the District. Prospective bidders failing to meet this requirement will automatically be disqualified and shall not be allowed to submit a bid.

I. <u>REQUEST FOR PREQUALIFICATION OF CONTRACTORS</u>

The District requires from prospective bidders answers to questions contained in a standard form prequalification questionnaire and financial statement which includes the following documents:

- 1. a questionnaire regarding background, experience, financial ability and references in performing public works projects; and
- 2. a certificate of accountant

These documents will be the basis of rating firms with respect to the size and scope of projects upon which each bidder is qualified to bid. All questions must be answered. If a question is not applicable, then indicate a response of "N/A". "You" or "Yours" as used herein refers to the prospective bidder's firm and any of its owners, officers and/or principals, including the Responsible Managing Officer/Responsible Managing Employee.

If an explanation and/or additional sheets are required for any of the responses, please provide on attached additional sheets of paper signed by the owner, officer and/or principal. Failure to provide <u>any</u> information may result in a reduced rating or disqualification from submitting a bid on the Project. Any false statement will result in the <u>immediate</u> disqualification from bidding on the Project.

The prospective bidder shall have a duly authorized owner, officer or principal complete the questionnaire and the financial statement who shall verify the truth of the information provided.

The certificate of an accountant licensed by the State of California will also be required. The accountant may submit a certificate in his/her own words provided it does not include qualifications so extensive as to nullify the value of the statement or its usefulness to the District. Note: <u>A compilation is not acceptable</u>.

The Certificate of Accountant shall not be made by any individual who is in the regular employ of a prospective bidder submitting the statement, nor by any individual who has more than a ten percent financial interest in the prospective bidder's business.

II. SUBMISSION OF COMPLETED STATEMENTS

A completed prequalification questionnaire and financial statement and supporting documents <u>must</u> be submitted by **August 16, 2024 at 2:00 pm for General Contractors and MEP Subcontractors** which is prior to the date fixed for the receipt of sealed bids.

Completed prequalification questionnaire and financial statement should be marked <u>Confidential</u> and hand delivered or mailed to:

Anaheim Union High School District 501 N. Crescent Way Anaheim, CA 92801 Attention: Jennifer Keys, Procurement Contract Specialist

<u>Bids for projects requiring prequalification will not be accepted if a prospective bidder's</u> <u>completed prequalification questionnaire and financial statement are not on file with the</u> <u>District</u>. Responses to the questionnaire and the financial statement are <u>not</u> public records and are <u>not</u> open to public inspection.

III. NOTIFICATION OF PREQUALIFICATION RESULTS

Prospective bidders will be notified by the telephone and/or by email or regular mail of their prequalification rating within five (5) calendar days of the submission of their completed

prequalification questionnaire and financial statement and supporting documents. Ratings of individual prospective bidders will only be disclosed upon written request of that bidder.

In the event that the prospective bidder is unable to obtain a bond in the time and form prescribed by the District, the prospective bidder shall be suspended from the prequalified bidder's list and not be allowed to submit a bid on the Project until proof of bondability is provided.

Where a timely and completed Prequalification Application results in a rating below that necessary to prequalify, an appeal can be made. An appeal is begun by the Contractor delivering notice to the Director, Purchasing and Central Services, of its appeal of the decision with respect to its prequalification rating, no later than five (5) days after being notified of the prequalification results and at least five (5) days prior to the closing time for the receipt of bids for the Project. The written appeal shall set forth in detail all grounds for the appeal, including without limitation all facts, supporting documentation, legal authorities and argument in support of the grounds for the appeal. Any matters not set forth in the written appeal shall be deemed waived. All factual contentions must be supported by competent, admissible and credible evidence.

Unless the Contractor submits a timely appeal, the Contractor waives any and all rights to challenge the decision of the District, whether by administrative process, judicial process or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded no later than three days after the District's receipt of the notice of appeal. The hearing shall be an informal process conducted by a panel to whom the District has delegated responsibility to hear such appeals ("Appeals Panel"). At or prior to the hearing, the Contractor will be advised of the basis for the District's prequalification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. Within one working day after the conclusion of the hearing, the Appeals Panel will render its decision. It is the intention of the District that the date for the submission of bids will not be delayed or postponed to allow for completion of an appeal process.

Any appeal not conforming with the foregoing shall be rejected by the District as invalid.

CONTACTOR INFORMATION

Firm Name:	_Check One:	Corporation	l
(as it appears on license)		PartnershipSole Proprie	
Contact Person:			
Address:			
Phone:			
Email:			
If firm is a sole proprietor or partnership: Owner(s) of Company			
Contractor's License Number(s):			
Primary Class:			
Supplemental Classification(s): (if any)			
Expiration Date:			
How many years has the firm been in business business name and license number?			
DIR Registration Number:			
Seeking Prequalification as a: Check		eneral Contractor EP Subcontractor	

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 7 is "no."¹

Contractor will be immediately disqualified if the answer to any of questions 8, 9, 10 or 11 is "yes."² If the answer to question 10 is "yes," and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

- Contractor possesses a valid and current California Contractor's license for the project or projects for which it intends to submit a bid.
 Yes No
- 2. Contractor has a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.

Yes	No	(Please provide a	current Certificate	of Insurance as	verification)
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- Contractor has current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.
 Yes No Contractor is exempt from this requirement, because it has no employees
- Have you attached your latest copy of a <u>reviewed</u> or <u>audited</u> financial statement with accompanying notes and supplemental information?³
 ☐ Yes
 ☐ No

NOTE: A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

5. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the project for which

¹ A "no" answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 3.

² A contractor disqualified solely because of a "Yes" answer given to question 9, 10, or 12 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

³ Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 per cent of the qualifying amount provided in section 14837(d)(1)." As of August 12, 2013, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million.

	you seek pre-qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity? ⁴ Yes No NOTE: Notarized statement must be from the surety company, not an agent or broker.
6.	Are you currently registered with the Department of Industrial Relations in accordance with Labor Code section 1725.5?
	Yes No
	If yes, what is your registration number
	Please submit proof of registration.
7	Has your firm contracted for and completed construction of a minimum of <u>three (3)</u> California K-12 public school districts, community college districts or other public works projects, each with a value of at least <u>\$1,500,000 (One Million Five Hundred Dollars)</u> and all within the past <u>five (5) years</u> ?
	Yes N/A for MEP Subcontractors N/A for this project
	NOTE: You must list these projects in the "Contractor Project References" Section.
8.	Has your contractor's license been revoked at any time in the last five years?
9.	Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years?
10.	At the time of submitting this pre-qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7? Yes No If the answer is "Yes," state the beginning and ending dates of the period of debarment:
11.	At any time during the last five years, has your firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

⁴ An additional notarized statement from the surety may be requested by *Anaheim Union High School District* at the time of submission of a bid, if this pre-qualification package is submitted more than 60 days prior to submission of the bid.

PART II. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Firms That Are Corporations:

- 1a. Date incorporated : _____
- 1b. Under the laws of what state:
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation's stock.

Name	Position	Years with Co.	% Ownership	Social Security #

Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.
 NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

For Firms That Are Partnerships:

- 1a. Date of formation: ____
- 1b. Under the laws of what state:
- 1c. Provide all the following information for each partner who owns 10 per cent or more of the firm.

Name	Position	Years with Co.	% Ownership	Social Security #

Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.
 NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

		Dates of Person's Participation
Person's Name	Construction Company	with Company

For Firms That Are Sole Proprietorships:

- 1a.
 Date of commencement of business.
- 1b. Social security number of company owner.
- 1c. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

		Dates of Person's Participation
Person's Name	Construction Company	with Company

For Firms That Intend to Make a Bid as Part of a Joint Venture:

- 1a. Date of commencement of joint venture.
- 1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

Name of firm	% Ownership of Joint Venture

B. History of the Business and Organizational Performance

2. Has there been any change in ownership of the firm at any time during the last three years? NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes	No No
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If "yes," explain on a separate signed page.

3. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm? NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes	No
If "yes," explain	n on a separate signed page.

4. Are any corporate officers, partners or owners connected to any other construction firms. **NOTE:** Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

	Ye	es			No
-					

If "yes," explain on a separate signed page.

5. State your firm's gross revenues for each of the last three years:

|--|

- 6. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ Years
- 7. How many years experience do you have in public school/or community college construction work:

a.	as a general	contractor	years
b.	as a subcon	tractor	vears

- 8. Is your firm currently the debtor in a bankruptcy case?
 Yes No
 If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.
- 9. Was your firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 8, above)
 Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

Licenses

10.	List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:
11.	If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.
12.	Has your firm changed names or license number in the past five years? Yes No If "yes," explain on a separate signed page, including the reason for the change.
13.	Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?
14.	Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years? Yes No If "yes," please explain on a separate signed sheet.
Dispu	tes
15.	At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner? Yes No If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.
16.	In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

Yes No

If "yes," explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

17. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible proposer?

Yes No

If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

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NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$25,000.

- 18. In the past five years has any claim <u>against</u> your firm concerning your firm's work on a construction project been <u>filed in court or arbitration</u>?
 - Yes No

If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

19. In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and <u>filed that claim in court or arbitration</u>?
Yes No
If "yes," on separate signed sheets of paper identify the claim by providing the project

If "yes," on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

* * * * *

20. At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?

Yes No

If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

21. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes] No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

Criminal Matters and Related Civil Suits

22. Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

23. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

24. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Bonding

25. Bonding capacity: Provide documentation from your surety identifying the following:

Name of bonding company/surety:

Name of surety agent, address and telephone number:

26. If your firm was required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one percent, if you wish to do so.

(NOTE: Contractors seeking prequalification as a subcontractor only: Response will not be rated.)

27. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

28. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

Yes No If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

C. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

Each bidder, and its subcontractors, must declare any serious or willful violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code received during the last five years. This information must include all construction work undertaken in the United States by the bidder and any affiliate of the bidder. Separate information shall be submitted for each particular partnership, joint venture, corporation, Limited Liability Company or individual bidder or subcontractor. The bidder or its subcontractors may be requested to submit additional information or explanation of data, which District may require for evaluating the safety record. The term "affiliate" shall mean any firm, corporation, partnership, joint venture, limited liability company or association which is a member, joint venturer or partner of the bidder, or any such entity which owns a substantial interest in, or is owned in common with, the bidder, its subcontractor or any of its members, joint venturers or partners or partners own a substantial interest.

29. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If "yes," attach a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

30. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

31. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board or their designees cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years? NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

32. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

Yes No

^{33.} Within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier

verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)

Prevailing Wage and Apprenticeship Compliance Record

34. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the **state's** prevailing wage laws? NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes	No
105	110

If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

35. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

36. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by Anaheim Union High School District.

37. If your firm operates its own State-approved apprenticeship program:

- (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of

	persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.
38.	At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? NOTE: You may omit reference to any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and your firm, as general contractor on a project, had no knowledge of the subcontractor's violation at the time they occurred. Yes No If 'yes,'' provide the date(s) of such findings, and attach copies of the Department's final decision(s).
D.	Project Specific Questions
39.	This project will require working on an active campus. Does your firm have experience working around students and staff members? <i>If "Yes", attach the project/projects information on a separate sheet</i>
	Yes No
40.	This project includes the installation of a fire alarm? Does your company have experience with this type of project? <i>If "Yes", attach the project/projects information on a separate sheet</i>

PART III. RECENT CONSTRUCTION PROJECTS COMPLETED

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Contractor shall provide information about its **five (5)** most recently completed public works projects and its largest completed private projects within the last five years.⁵ Names and references must be current and verifiable. *The District may use this information to contact the reference and interview the reference about the project using a point based system.* Use separate sheets of paper that contain all of the following information:

Project Name:

Location:

Owner: _____

Owner Contact (name and current phone number):

Architect or Engineer:_____

Architect or Engineer Contact (name and current phone number):

Construction Manager (name and current phone number):

Description of Project, Scope of Work Performed:

⁵ If you wish, you may, using the same format, also provide information about other projects that you have completed that are similar to the project(s) for which you expect to bid.

* * * * * * *

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated:

(Name)